

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Xuehai En et al.	Art Unit	: 2164
Serial No.	: 09/346,195	Examiner	: Richard C. Weisberger
Filed	: July 1, 1999	Conf. No.	: 2114
Title	: SELECTING INVESTMENTS FOR A PORTFOLIO		

MAIL STOP AF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (*i*) was first cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated November 4, 2009, which is not more than three months prior to the filing of this statement, and (*ii*) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. Apply the \$180 payment of the submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 08575-0046001.

CERTIFICATE OF MAILING BY EFS-WEB FILING

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: December 30, 2009

Applicant : Xuehai En et al.
Serial No. : 09/346,195
Filed : July 1, 1999
Page : 2 of 2

Attorney's Docket No.: 08575-0046001

Respectfully submitted,

Date: 12/30/2009

Christina V. McDonough

Christina V. McDonough
Reg. No. 64,612

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110
Telephone: (617) 542-5070
Facsimile: (877) 769-7945